

Alphyn Capital Management, LLC
Client Relationship Summary
March 11, 2025

Item 1: Introduction

Alphyn Capital Management, LLC (our “firm”, “us”, “we”) is registered with the Securities and Exchange Commission as an Investment Advisor.

Brokerage and investment advisory services and fees differ, and it is important for the retail investor to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services

What investment services and advice can you provide me?

Our firm offers asset management services to retail private wealth investors and high net worth clients on a discretionary basis, meaning we select the securities to be bought and sold without asking you in advance, through our equity and fixed income strategies. You may not impose restrictions in investing in certain securities or types of securities. We will actively review and monitor your account on a regular basis, no less than quarterly. We do not require you to have an account minimum to invest with us.

For additional information regarding our services, please see Item 4 – Advisory Business, Item 7 – Types of Clients, and Item 13 – Review of Accounts of our [Form ADV Part 2A](#).

ASK US THE FOLLOWING QUESTIONS:

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose investments recommended to me?

What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3: Fees, Costs, Conflicts and Standard of Conduct

What fees will I pay?

You will pay us a management fee that is billed quarterly in arrears and based on your assets under management with our firm. If invested in the Aracua strategy, you will be subject to a performance-based fee, pending a hurdle mark which is paid to a third party. While not charged by us, you may also pay custodial fees to the broker-dealer or custodial bank that holds your assets, and a transaction fee when we buy or sell an investment in your account.

The SEC requires the following information to be stated:

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information regarding our fees, please see Item 5 – Fees and Compensation of our [Form ADV Part 2A](#).

ASK US THE FOLLOWING QUESTION:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

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What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

The SEC requires the following information to be stated:

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what this means.

The more money you invest with us the more fees you will pay; therefore, we may have an incentive to have your assets in your account increase, which can happen through market appreciation or additions to your account.

For additional information regarding our conflicts, please see *Item 10 – Other Financial Industry Activities and Affiliations* of our [Form ADV Part 2A](#).

ASK US THE FOLLOWING QUESTION:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

The compensation for our financial professionals consists of a base salary and if an owner profit sharing.

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. Please visit Investor.gov/CRS, for a free and simple tool to research our firm and financial professionals.

ASK US THE FOLLOWING QUESTION:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5: Additional Information

For additional information regarding our fees, services, and conflicts, please see our [Form ADV Part 2A](#), or visit our website at www.alphyncap.com. Please contact us at **516-500-1033** for more up-to-date information or request a copy of this client relationship summary.

ASK US THE FOLLOWING QUESTION:

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?